# IAP7 Rec'd PCT/PTO 31 AUG 2006

Express Mail Label No. EV 325 822 867 US

,	rd a NS	SMITTAL LET	Attorney Docket Number							
	INALI	ST.	57070-8021.US00							
		ATED/ELECT	U.S. Application No. (if known, see 37 CFR §1.5)							
International Application No.			International Filing Date	Priority Date Claimed						
PCT/US2005/006952			02 March 2005	02 March 2004						
Title of Invention TRIPTOLIDE LACTONE RING DERIVATIVES AS IMMUNOMODULATORS AND ANTICANCER AGENTS										
Applicant(s) for DO/EO/US  Yuan et al.										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information										
1.	$\boxtimes$	This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. §371.								
2.		This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371								
3.		This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).								
4.		A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.								
5.	a. b. c.	A copy of the International Application as filed (35 U.S.C. §371(c)(2)).  is transmitted herewith (required only if not transmitted by the International Bureau).  has been transmitted by the International Bureau.  is not required, as the application was filed in the United States Receiving Office (RO/US).								
6.		A translation of the International Application into English (35 U.S.C. §371(c)(2)).								
7.	a. b. c. d.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).  are transmitted herewith (required only if not transmitted by the International Bureau).  have been transmitted by the International Bureau.  have not been made; however, the time limit for making such amendments has NOT expired.  have not been made and will not be made.								
8.		A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)) (unsigned)								
10.		A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5).								
Items 1	1. to 16. ł	below concern docum	ent(s) or information included:							
11.		An Information Disclosure Statement under 37 CFR §1.97 and §1.98.								
12.		An assignment document document included.	assignment document for recording. A separate cover sheet in compliance with 37 CFR §3.28 and §3.31 is cluded.							
13.		A FIRST preliminary amendment.								
		A SECOND or SUBSTITUTE preliminary amendment.								
14.		A substitute specification.								
15.		A change of Power of Attorney and/or Address letter.								
16.		Other items or information:								

## IAP9 Rec'd PCT/PTO 3 1 AUG 2006

U.S. Application N	o. (if known, see 37 CFR §1.5)	International Application No. PCT/US2005/006952		Attorney's Docket No. 57070-8021.US00				
17. 🛭 T	he following fees are sub	CALCULATIONS PTO USE						
BASIC NATION	ONAL FEE (37 CFR §1	ONLY						
Basic 1	National Stage Fee							
Nation	al Stage Search Fee							
Nation	al Stage Examination Fee							
		\$1000.00						
	30.00 for furnishing the oath earliest claim priority date	\$ 00.00						
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE					
Total Claims	28 - 20 =	8	8 × \$ 50.00	\$ 400.00				
Independent Claims	2 - 3 = '	0 .	0 × <b>\$200.00</b>	\$ 00.00				
Multiple Depend	dent Claim(s) (if applicable)	\$ 360.00						
		\$ 1760.00						
Applicant cl	laims small entity status. Se ½.	\$ 880.00						
		\$ 880.00						
30 months fr	f \$130.00 for furnishing the om the earliest claimed prio	\$						
	g enclosed assignment (37 C an appropriate cover sheet (	\$ 00.00						
		\$ 880.00						
		refunded	\$					
a. 🛛	Amount to Be: charged \$							
<ul> <li>a.  A check in the amount of \$880 to cover the filing fees is enclosed.</li> <li>b.  Please charge Deposit Account No. 50-2207 in the amount of \$*** to cover the above fees.</li> <li>c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2207.</li> </ul>								
NOTE: Where an appropriate time limit under 37 CFR §1.494 or §1.495 has not been met, a petition to revive (37 CFR 137(a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CO	RRESPONDENCE TO:	DY Signature						
P.O. I	ns Coie LLP Box 2168	S. Boyer ration No. 52,643						
ll .	Park, CA 94026							
Customer No. 22918								

### 10/591358 IAP9 Rec'd PCT/PTO 3 1 AUG 2006

ATTORNEY DOCKET NO. 57070-8021.US00

Patent

## IN THE UNITED STATES OF AMERICA AS AN ELECTED OFFICE (EO/US)

IN RE APPLICATION OF: YUAN et al.

SERIAL NO .:

Not yet Assigned

**PCT FILING DATE:** 

02 March 2005

PRIORITY DATE:

02 March 2004

Title: TRIPTOLIDE LACTONE RING DERIVATIVES AS IMMUNOMODULATORS AND

ANTICANCER AGENTS

#### **CERTIFICATE OF MAILING BY EXPRESS MAIL**

Mail Stop PCT Attn: DO/EO/US Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Express Mail Label No:

EV 325 822 867 US

**Date of Deposit:** 

August 31, 2006

I hereby certify that the attached filing: application transmittal under 35 USC § 371, an oath or declaration of the inventors under 35 U.S.C § 371, and a check in the amount of \$880 for the filing, search and examination fees due are being deposited with the United States Postal Service "Express Mail Post office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above, and is addressed to Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Attn: DO/EO/US.

Respectfully submitted,

Date by Signature

**Correspondence Address**:

Customer No. 22918 Phone: 650 838-4300